



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: CHURCHILL et al. Docket: 372545-01101 (337643)  
 Serial No. 09/422,114 Examiner: Graham, Clement B.  
 Filed: October 20, 1999 Art Unit: 3628  
 For: AUCTION REDEMPTION SYSTEM AND METHOD

Mail Stop Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 CFR §§ 1.97 and 1.98, Applicant submits herewith patents, publications and/or other information (listed below and/or on the attached Form PTO 1449) that may be material to the examination of the captioned application, and in respect of which there may be a duty of disclosure as set forth in 37 CFR § 1.56, for consideration and to be made of record in the captioned application by the U.S. Patent and Trademark Office.

☒ In accordance with 37 CFR 1.98:

☒ (a)(2), copies of the documents listed on the attached Form PTO 1449 are enclosed herewith;

☐ (d), copies of the documents listed on the attached Form PTO 1449 are not enclosed herewith, because the information was previously submitted to, or cited by, the U.S. Patent and Trademark Office in parent application Serial No. \_\_\_\_\_, of which the captioned application claims benefit under 35 U.S.C. § 120, and the Information Disclosure Statement submitted in this parent application complied with paragraphs (a) through (c) of 37 CFR § 1.98 or

☐ (e), copies of U.S. patents and published U.S. applications listed on the attached Form PTO 1449 are not required and are therefore not enclosed because this Information Disclosure Statement is being submitted in compliance with the Office's electronic filing system.

☐ In accordance with 37 CFR § 1.97(b), this Information Disclosure Statement is being submitted:

☐ (1) Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR § 1.53(d);

☐ (2) Within three months of the of entry of the national stage as set forth in 37 CFR § 1.491 in an international application;

☐ (3) Before the mailing of a first Office Action on the merits; or

☐ (4) Before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114.

☐ In accordance with 37 CFR § 1.97(c), this Information Disclosure Statement is being submitted after one of the time periods specified by CFR § 1.97(b), but before the mailing date of any of a final Office Action under 37 CFR § 1.113, a notice of allowance under 37 CFR § 1.113, or an action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ (1) The statement specified in 37 CFR § 1.98(e); or

☐ (2) The fee set forth in 37 CFR § 1.17(p).

☒ In accordance with 37 CFR § 1.97(d), this Information Disclosure Statement is being submitted after one of the time periods specified by CFR § 1.97(c),

☐ on or before payment of the issue fee;

☒ with an RCE;

and is accompanied by:

☐ (1) The statement specified in 37 CFR § 1.97(e); and

☒ (2) The fee set forth in 37 CFR § 1.17(p).

☐ Pursuant to 37 CFR § 1.97(e), the undersigned hereby states that:

☐ (1) Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

☐ (2) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR § 156(c) more than three months prior to the filing of this Information Disclosure Statement.

As specified in 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists.

As specified in 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

Moreover, while the patents, publications and/or other information disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, the Disclosure is not intended to constitute an admission that any patents, publications and/or other information included referred to therein is "prior art" to the captioned application unless specifically designated as such.

☐ No fees are believed due in connection with this Information Disclosure Statement. However, the Patent Office is authorized to take any fee(s) that may be required, or credit any overpayment(s), to Dechert LLP Deposit Account No. 50-2778 (Order No. \_\_\_\_\_)

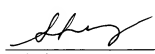
☒ The fee specified in 37 CFR § 1.17(p) are believed due in connection with this Information Disclosure Statement. The Patent Office is authorized to take this fee, and any other fee that may be required in connection with this Information Disclosure Statement, or credit any overpayment, to Dechert LLP Deposit Account No. 50-2778 (Order No. **337643**)

It is respectfully submitted that this Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609. Accordingly, consideration of the foregoing and prompt return of a copy of the enclosed Substitute form PTO 1449 with the Examiner's initials in the left column in accordance with MPEP § 609 are respectfully requested.

Respectfully submitted,

DECHERT LLP

Dated: July 19, 2005

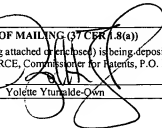
  
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**CERTIFICATE OF MAILING (37 CFR 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on July 19, 2005, with the U.S. Postal Service as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Date: July 19, 2005

  
Yvette Yturbe-Owen



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>SUPPLEMENTAL                  INFORMATION DISCLOSURE                  STATEMENT BY APPLICANT</b>	ATTY DOCKET NO. 372545-01101	SERIAL NO. 09/422,114
	APPLICANT <b>CHURCHILL et al.</b>	
	FILING DATE October 20, 1999	GROUP 3628

U.S. PATENT & PUBLICATION DOCUMENTS							
EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE If appropriate
	AA	6,484,147	11/19/2002	Brizendine, et al.	705	60	10/15/1999
	AB	6,594,640	07/15/2003	Postrel	705	14	06/23/2000
	AC	6,629,081	09/30/2003	Cornelius, et al.	705	30	12/22/1999
	AD	US 2001/0034663	10/25/2001	Teveler et al.	705	26	02/21/2001

FOREIGN PATENT DOCUMENTS				
		FOREIGN PATENT DOCUMENT (inc. Country Code, Number, Kind Code)	PUBLICATION DATE	APPLICANT OR PATENTEE

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)			
	AE		Baig, E., "Going Once. Going Twice. Cybersold!" <i>BusinessWeek</i> , July 31, 1997, 4 pp.
	AF		Tamosaitis, N., "Online Auctions: Bid Adieu to High Prices," Taking Care of Household Business, <i>Financial Adviser</i> , February 1997, 2 pp.

EXAMINER /Clement Graham/	DATE CONSIDERED 03/31/2008
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant	